I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail Label No. <u>EV331047261US</u> in an envelope addressed to: Mail Stop Divisional Application, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on November 25, 2003

By Chianti Appling

Attorney Docket No. 407T-894302US Client Ref. No. 99-022-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Bocian, David F. et al.

Application No.:

Filed: October 28, 2003

For: HIGH DENSITY NON-VOLATILE

MEMORY DEVICE

Examiner: Huan Hoang

Art Unit: 2818

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR § 1.97 and

§ 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO-1449 form are being called to the attention of the Examiner to make of record references cited in parent application USSN 10/053,814 (now U.S. Patent 6,657,884) filed January 18, 2002. Pursuant to 37 CFR § 1.98(d), copies of references cited in parent application USSN 10/053,814 (now U.S. Patent 6,657,884) filed January 18, 2002 are not provided. However the applicants will gladly provide fresh copies of any references requested by the Examiner.

It is respectfully requested that the cited information on the attached 1449 form(s) be expressly considered during the prosecution of this application, and that references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Tom Hunter, J.D., Ph.D.

Reg. No. 38,498

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

P.O. BOX 458 Alameda, CA 94501

(510) 337-7871

Fax (510) 337-7877

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Substitute for form 1449A-B/PTO	C	omplete if Known
	Application Number	10/053,814
INFORMATION DISCLOSURE	Filing Date	January 18, 2002
STATEMENT BY APPLICANT	First Named Inventor	David F. Bocian
	Group Art Unit	2818
	Examiner Name	Huan H ang
(use as many sheets as necessary)	Attorney Docket Number	407T-894301US
	Date Submitted	April 9, 2003

_		U.S. Patent Docur	nent	S. PATENT DOCUMENTS Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, lines,
Examiner Initials	Cite No.	Number	Kind Code (if known)	Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appeal
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Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	Application Number	10/053,814	
NFORMATION DISCLOSURE	Filing Date	January 18, 2002	
STATEMENT BY APPLICANT	First Named Inventor	David F. B cian	
(use as many sheets as necessary)	Group Art Unit	2818	
	Examiner Name	Huan Hoang	
	Attorney Docket Number	407T-894301US	
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	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
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Signature	Considered	

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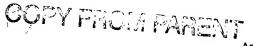
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Signature	701.0100.00	

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